

# Prescription Monitoring Program Advisory Council State of Louisiana

January 10, 2007

## MINUTES

A meeting of the Prescription Monitoring Program (PMP) Task Force scheduled to meet on Wednesday, January 10, 2007 at the office of the Louisiana Board of Pharmacy, 5615 Corporate Blvd., Suite 8-E, Baton Rouge, Louisiana 70808, convened at 1:00 p.m. to consider the following:

### A G E N D A

1. Call to Order
2. Introduction of Council Membership
3. Election of Chair and Vice Chair
4. Consideration of Draft Policies & Procedures
5. Prescription Monitoring Program
  - a. Review of Prior Activities
  - b. Review of Project Timeline
6. Consideration of Draft Rules
7. Calendar Notes
8. Opportunity for Public Comment
9. Adjourn

Advisory Council representatives/designees present: Joni Nickens (LANP), J. Michael Burdine, M.D. (LSMS), James D. Sandefur, O.D. (LSBOE), David Staton & Murphy Paul (LA State Police), Peggy Griener (LSBN), Louis Lejarza (DEA), M.J. Terrebonne (DHH), Bud Courson (NACDS), Katie McMurray (LPA), J.J. Williams (LA DA's Association), Carl W. Aron (LABP), Alfred L. Gaudet (LSBME), Kenneth Betzing (LAPA), John F. Bolter (LSBEP), Joseph Roberts (LDAA), Barry Ogden (LSBD).

Others present: Malcolm J. Broussard, Kathleen Gaudet & Carlos M. Finalet, III (LABP), (Rochelle Head Dunham, M.D. & Brenda Lands (OAD/DHH), Roland Mathews (LA State Police), Phyllis Perron (LPA).

### Election of Chair and Vice Chair

Carl W. Aron was elected without opposition as Chair to the Council. Al Gaudet was elected without opposition to the Vice Chair position.

### Consideration of Draft Policies & Procedures

Malcolm Broussard explained the draft of proposed policies and procedures. The Council approved the policies and procedures unanimously without change. *Attached as Exhibit A*

## Prescription Monitoring Program

### **a. Review of Prior Activities**

Mr. Broussard summarized the history of the PMP Task Force, PMP legislation (Act 676) effective July 1, 2006, and research of other PMP programs in the country.

### **b. Review of Project Timeline**

In 2006 the Legislature designated the Board as the agency responsible for the issuance of the state Controlled Dangerous Substance licenses. The Board office is still in the process of transitioning that program into our office. This has delayed the PMP timeline.

The Board is in the process of getting Civil Service approval for the positions for the PMP program. Database/software issues were discussed in detail in relation to the timeline.

### Consideration of Draft Rules

The Council agreed the regulations for the PMP program regulations will be in the Board's regulations in the Louisiana Administrative Code, Title 46; LIII. Chapter 26 of the Board's regulations will be devoted exclusively to these provisions. The Council reviewed Draft #1 of the proposed regulations. *Attached as Exhibit B.*

#### ***§2601 – Definitions.***

Subsection (10) defines "patient" as a "person or animal". Mr. Broussard explained that veterinarians were exempted from the PMP program during the legislative process. However, the statutory definition of 'patient' was not amended to remove 'animal' from its scope. So, while not applicable to animals, the regulatory definition must parallel the statutory definition until the latter can be corrected by legislation.

#### ***§2611 – Reporting of prescription monitoring information.***

JJ Williams inquired as to the duration for any exemption from the electronic reporting provisions. The Council agreed to a modification of §2611(C): "The waiver shall expire one year after the date of issue, unless terminated sooner by the Board."

#### ***§2613 – Required data elements.***

Subsection (B)(4): The Council deliberated over what identifier to use for the patient identification number. Mr. Broussard explained some states use the Social Security number. Others use the driver's license number of the patient. Major Staton explained that, like Louisiana's proposed regulations, other states have multiple identifiers beyond this number to ensure the whole of the patient information cell in the system is as accurate as possible. The Council agreed to use the Social Security number as the identification number for program reporting.

Subsection (E): The Council agreed to use the National Practitioner Identification (NPI) number as part of the dispenser information required for reporting.

#### ***§2617 – Authorized users of prescription monitoring information.***

The Council agreed to modify the title of this section to "authorized direct access users" to clarify its intent.

***§2619 – Registration procedures for authorized users.***

Similarly, the Council agreed to modify the title of this section to read “Registration procedures for authorized direct access users” to clarify the intent of the section.

***§2627 – Legislative oversight.***

A typographical error in the first line was corrected: “in not case” corrected to read “in no case”.

No other changes were made to the proposed regulations.

Several Council members requested time to present these proposed regulations to their respective entities for final approval before the Board’s meeting in March. The Council agreed to leave the ability for additional comment open.

**Calendar Notes**

The Council approved the second Wednesday of the first calendar month of each quarter (January, April, July, and October) as its meeting dates, starting at 1:00 p.m.

**Opportunity for Public Comment**

No public comments were made.

**Adjournment:** The Advisory Council adjourned at approximately 4:00 p.m.

*I certify that the foregoing are true and accurate minutes of a meeting of the Prescription Monitoring Advisory Council for the State of Louisiana, held on the above noted date.*

*Malcolm J. Broussard*

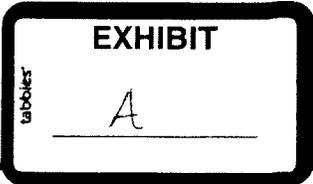
Malcolm J. Broussard  
Executive Director  
Louisiana Board of Pharmacy

*Prepared by: Carlos M. Finalet, III, General Counsel, Louisiana Board of Pharmacy*

Prescription Monitoring Program Advisory Council

Approved:

Revised:



Authority

The legislative authority for this organization is Act 676 of the 2006 Louisiana Legislature.

Purpose

The council shall provide information and advice regarding the development and operation of the electronic monitoring system, including but not limited to the following:

1. Which controlled substances should be monitored.
2. Which drugs of concern demonstrate a potential for abuse and should be monitored.
3. Design and implementation of educational courses for program participants.
4. The methodology to be used for analysis and interpretation of prescription monitoring information.
5. Design and implementation of a program evaluation component.
6. Identification of potential additional members to the advisory council.

Structure

The council consists of the following members, each of whom may appoint a designee:

1. The president of the Louisiana State Board of Medical Examiners.
2. The president of the Louisiana State Board of Dentistry.
3. The president of the Louisiana State Board of Nursing.
4. The president of the Louisiana State Board of Optometry Examiners.
5. The president of the Louisiana State Board of Examiners of Psychologists.
6. The president of the Louisiana Academy of Physicians Assistants.
7. The president of the Louisiana Board of Pharmacy.
8. The superintendent of the Louisiana State Police.
9. The administrator of the United States Drug Enforcement Administration.
10. The speaker of the Louisiana House of Representatives.
11. The president of the Louisiana Senate.
12. The chairman of the House Committee on Health and Welfare.
13. The chairman of the Senate Committee on Health and Welfare.
14. The secretary of the Department of Health and Hospitals.
15. The president of the Louisiana State Medical Society.
16. The president of the Louisiana Dental Association.
17. The president of the Louisiana Association of Nurse Practitioners.
18. The president of the Optometry Association of Louisiana.
19. The president of the Louisiana Pharmacists Association.
20. The president of the Louisiana Independent Pharmacies Association.
21. The president of the National Association of Chain Drug Stores.
22. The president of the Louisiana Sheriffs' Association.
23. The president of the Louisiana District Attorneys Association.
24. The president of the Pharmaceutical Research and Manufacturers of America.
25. The president of the Louisiana Academy of Medical Psychologists.

The members of the advisory council shall serve at the pleasure of their respective appointing authorities.

Prescription Monitoring Program Advisory Council

Approved:

Revised:

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Procedures

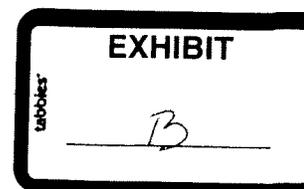
1. The Board of Pharmacy shall fix a time and place for regular meetings of the advisory council, which shall meet at least quarterly.
2. Eleven members of the advisory council shall constitute a quorum for the transaction of all business.
3. The presiding officer shall conduct all meetings in conformance with the Open Meetings Law and Robert's Rules of Order.

Officers

The leadership of the advisory council shall be vested in a Chair and Vice Chair, who shall be elected for one year terms; they shall serve until their successor is elected. The duties of the officers shall be as enumerated here:

- Chair – The Chair shall preside at all council meetings, and may accept other assignments as authorized by the council.
- Vice Chair – In the absence of the Chair, the Vice Chair shall preside at all council meetings. The Vice Chair may accept other assignments as authorized by the council.

**Louisiana Administrative Code**  
**Title 46 – Professional and Occupational Standards**  
**Part LIII – Pharmacists**



**Chapter 26. Prescription Monitoring Program**

**Subchapter A. General Operations**

**§2601. Definitions**

As used in this Chapter, the following terms shall have the meaning ascribed to them unless the context clearly indicates otherwise:

- (1) "Administer" or "administration" means the direct application of a drug to the body of a patient by injection, inhalation, ingestion, or any other means.
- (2) "Advisory Council" means the entity established in R.S. 40:1005.
- (3) "Board" means the Louisiana Board of Pharmacy.
- (4) "Controlled substance" means any substance or drug defined, enumerated, or included in federal or state statute or rules, 21 CFR 1308.11-15 or R.S. 40:964, or any substance which may hereafter be designated as a controlled substance by amendment or supplementation of such regulations or statute. "Controlled substance" shall not include distilled spirits, wine, malt beverages, or tobacco.
- (5) "Dispense" or "dispensing" means the interpretation, evaluation, and implementation of a prescription drug order, including the preparation and delivery of a drug or device to a patient or patient's agent in a suitable container appropriately labeled for subsequent administration to, or use by, a patient.
- (6) "Dispenser" means a person authorized by this state to dispense or distribute to the ultimate user any controlled substance or drug monitored by the program, but shall not include any of the following:
  - (a) A pharmacy permitted by the board as a hospital pharmacy that dispenses or distributes any controlled substance or drug monitored by the program for the purposes of inpatient health care.
  - (b) A practitioner who dispenses or distributes no more than a single forty-eight hour supply of such controlled substance or drug to a patient prior to, or subsequent to, performing an actual procedure on that patient.
  - (c) A practitioner or other authorized person who administers such controlled substance or drug upon the lawful order of a practitioner.
  - (d) A wholesale distributor of such controlled substance or drug that is credentialed by the Louisiana State Board of Wholesale Drug Distributors.
- (7) "Distribute" or "distribution" means the delivery of a drug or device other than by administering or dispensing.
- (8) "Drug" means any of the following:
  - (a) Any substance recognized as a drug in the official compendium, or supplement thereto, designated by the board for use in the diagnosis, cure, mitigation, treatment, or prevention of disease in humans or animals.
  - (b) Any substance intended for use in the diagnosis, cure, mitigation, treatment, or prevention of disease in humans or other animals.
  - (c) Any substance other than food intended to affect the structure or any function of the body of humans or other animals.
- (9) "Drugs of concern" means drugs other than controlled substances as defined by rule which demonstrate a potential for abuse.
- (10) "Patient" means the person or animal who is the ultimate user of a controlled substance or drug monitored by the program for whom a prescription is issued and for whom a controlled substance or drug is dispensed.
- (11) "Prescriber" means a licensed health care professional with prescriptive authority.
- (12) "Prescription monitoring information" means data submitted to and maintained by the prescription monitoring program.
- (13) "Prescription monitoring program" or "PMP" means the program established in R.S. 40:1004.

- (14) "Procedure" means any dental or medical practice or process described in the current year's version of the American Dental Association's *Current Dental Terminology* or the American Medical Association's *Code of Procedural Terminology*.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1011.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2603. Authority for program operation**

The board shall establish and maintain, in consultation with and upon the recommendation of the advisory council, an electronic system for the monitoring of controlled substances and drugs of concern dispensed in the state or dispensed to an address in the state.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1004.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2605. Authority to engage staff**

The board shall have the authority to engage a program director and sufficient number of other personnel as may be necessary to accomplish the mission of the program.

AUTHORITY NOTE: Promulgated in accordance with R.S. 37:1179.F.(6).

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2607. Authority to engage vendors**

The board shall have the authority to engage vendors to facilitate the collection of the prescription monitoring program data and to facilitate access to the program data by authorized users.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1004.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2609. Advisory council**

A. The advisory council shall consist of the following members, each of whom may appoint a designee:

- (1) The president of the Louisiana State Board of Medical Examiners.
- (2) The president of the Louisiana State Board of Dentistry.
- (3) The president of the Louisiana State Board of Nursing.
- (4) The president of the Louisiana State Board of Optometry Examiners.
- (5) The president of the Louisiana State Board of Examiners of Psychologists.
- (6) The president of the Louisiana Academy of Physician Assistants.
- (7) The president of the Louisiana Board of Pharmacy.
- (8) The superintendent of the Louisiana State Police.
- (9) The administrator of the United States Drug Enforcement Administration.
- (10) The speaker of the Louisiana House of Representatives.
- (11) The president of the Louisiana Senate.
- (12) The chairman of the House Committee on Health and Welfare.
- (13) The chairman of the Senate Committee on Health and Welfare.
- (14) The secretary of the Department of Health and Hospitals.
- (15) The president of the Louisiana State Medical Society.
- (16) The president of the Louisiana Dental Association.
- (17) The president of the Louisiana Association of Nurse Practitioners.
- (18) The president of the Optometry Association of Louisiana.
- (19) The president of the Louisiana Pharmacists Association.
- (20) The president of the Louisiana Independent Pharmacies Association.
- (21) The president of the National Association of Chain Drug Stores.
- (22) The president of the Louisiana Sheriffs' Association.
- (23) The president of the Louisiana District Attorneys Association.
- (24) The president of the Pharmaceutical Research and Manufacturers of America.
- (25) The president of the Louisiana Academy of Medical Psychologists.

- B. The members of the advisory council shall serve at the pleasure of their respective appointing authorities, eleven of whom shall constitute a quorum for the transaction of business. The members shall elect a chairman and vice chairman whose duties shall be established by the advisory council. The board shall fix a time and place for regular meetings of the advisory council, which shall meet at least quarterly. The advisory council shall establish policies and procedures necessary to carry out its duties.
- C. The board shall seek, and the advisory council shall provide, information and advice regarding the development and operation of the electronic monitoring system, including but not limited to the following:
  - (1) Which controlled substances should be monitored.
  - (2) Which drugs of concern demonstrate a potential for abuse and should be monitored.
  - (3) Design and implementation of educational courses identified in R.S. 40:1008.
  - (4) The methodology to be used for analysis and interpretation of prescription monitoring information.
  - (5) Design and implementation of a program evaluation component.
  - (6) Identification of potential additional members to the advisory council.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1005.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

## **Subchapter B. Data Collection**

### **§2611. Reporting of prescription monitoring information**

- A. Each dispenser shall submit to the board information regarding each prescription dispensed for a controlled substance.
- B. Each dispenser shall submit the required information by electronic means on a frequency set by the board, which shall be no less than every fourteen days and no more than every seven days.
- C. If the dispenser is unable to submit prescription information by electronic means, he may apply to the board for a waiver. The board may grant a waiver to that requirement; if so, the waiver shall state the format and frequency with which the dispenser shall submit the required information.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1006.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2613. Required data elements**

The information submitted for each prescription shall include data relative to the identification of the following elements of the transaction:

- A. Prescriber information.
  - (1) Name of prescriber.
  - (2) Address of prescriber.
  - (3) Telephone number of prescriber.
  - (4) United States Drug Enforcement Administration (DEA) registration number.
- B. Patient information.
  - (1) Name of patient.
  - (2) Address of patient.
  - (3) Date of birth of patient.
  - (4) Identification number of patient.
- C. Prescription information.
  - (1) Identification number of prescription.
  - (2) Date of issuance.
  - (3) Date of fulfillment.
  - (4) Number of refills authorized on original prescription.
  - (5) Method of payment for prescription.
- D. Drug information.
  - (1) National Drug Code (NDC) number.
  - (2) Name of drug.

- (3) Dosage form of drug.
- (4) Strength of drug.
- (5) Quantity prescribed.
- (6) Quantity dispensed.
- E. Dispenser information.
  - (1) Name of pharmacy or practitioner.
  - (2) Address of dispenser
  - (3) Telephone number of dispenser.
  - (4) DEA registration number.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1006.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2615. Failure to report prescription information**

A dispenser who fails to submit prescription monitoring information to the board as required shall be referred to the appropriate professional licensing, certification, or regulatory agency for administrative sanctions as deemed appropriate by that agency.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1009.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

## **Subchapter C. Access to Prescription Monitoring Information**

### **§2617. Authorized users of prescription monitoring information**

The following persons may access prescription monitoring information in the same or similar manner, and for the same or similar purposes, as those persons are authorized to access similar protected health information under federal and state law and regulation:

- A. Persons authorized to prescribe or dispense controlled substances or drugs of concern, for the purpose of providing medical or pharmaceutical care for their patients.
- B. Designated representatives from the professional licensing, certification, or regulatory agencies charged with administrative oversight of those professionals engaged in the prescribing or dispensing of controlled substances or other drugs of concern.
- C. Designated representatives from the Louisiana Medicaid program regarding Medicaid program recipients.
- D. Designated representatives of the board or any vendor or contractor establishing or maintaining the prescription monitoring program.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1007

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2619. Registration procedures for authorized users**

Authorized users of prescription monitoring information shall comply with the following requirements to register with the board, in order to receive the appropriate credentials to access prescription monitoring information.

- A. The applicant shall successfully complete the program's orientation course, and attach evidence of same to his application to the program.
- B. The applicant shall file an application with the program, using the form supplied by the program for that purpose.
- C. The board shall verify the applicant is in possession of a valid license to prescribe or dispense controlled substances.
- D. Upon verification of all requirements, the board shall issue the appropriate credential necessary to access prescription monitoring information.
- E. Upon receipt of information that an authorized user no longer possesses authority to prescribe or dispense controlled substances, the program shall terminate the user's credentials to access prescription monitoring information. If or when the user's authority to prescribe or dispense controlled substances is reinstated, the program may reinstate the user's credentials to access prescription monitoring information.

AUTHORITY NOTE: Promulgated by R.S. 40:1011.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2621. Methods of access to prescription monitoring information**

- A. Prescribers and dispensers, once properly registered, may solicit prescription monitoring information from the program concerning their patients. The program may require such users to certify the legitimacy of their inquiry prior to furnishing the requested information.
- B. Designated representatives from agencies charged with administrative oversight of prescribers and dispensers of controlled substances may solicit prescription monitoring information from the program concerning specific investigations of prescribers or dispensers. The program may require such users to certify the legitimacy of their inquiry prior to furnishing the requested information.
- C. Designated representatives of the Louisiana Medicaid program, once properly registered, may solicit prescription monitoring information from the program concerning specific recipients. The program may require such users to certify the legitimacy of their inquiry prior to furnishing the requested information.
- D. Designated representatives of the board, or any vendor or contractor establishing or maintaining the program, once properly registered, may solicit prescription monitoring information from the program for the purpose of establishing or maintaining the program's database.
- E. Upon receipt of one of the following methods of application by local, state, or federal law enforcement or prosecutorial officials, the program may provide prescription monitoring information:
  - (1) A court order or court-ordered warrant, or a subpoena or summons issued by a judicial officer;
  - (2) A grand jury subpoena; or
  - (3) An administrative request, including an administrative subpoena or summons, a civil or an authorized investigative demand, or similar process authorized under law, provided by law enforcement to the board, and further, provided all of the following:
    - (a) The information sought is relevant and material to a legitimate law enforcement inquiry.
    - (b) The request is specific and limited in scope to the extent reasonably practicable in light of the purpose for which the information is sought.
    - (c) De-identified information, or limited information that does not identify or could not reasonably lead to the identification of an individual patient, could not reasonably be used.
- F. Individuals may solicit their own prescription monitoring information from the program. To prevent inappropriate access to such information, the requestor shall personally appear at the program office and produce positive photo identification at the time of their request. The program shall furnish a single copy of the report responding to such request at no charge to the individual.
- G. Program personnel, once properly registered, may solicit prescription monitoring information from the program's database for the purpose of responding to legitimate inquiries from authorized users or other individuals.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1011.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2623. Unlawful use or disclosure of prescription monitoring information**

If the program receives evidence of inappropriate or unlawful use or disclosure of prescription monitoring information by an authorized user, the program shall refer that user to the appropriate professional licensing, certification, or regulatory agency for administrative sanctions as deemed appropriate by that agency.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1011.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

## **Subchapter D. Reports**

### **§2625. Release of prescription monitoring information to other entities**

The program shall provide prescription monitoring information to public or private entities, whether located in or outside of the state, for public research, policy, or educational purposes, but only after removing information that identifies or could reasonably be used to identify individual patients or persons who received prescriptions from prescribers.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1011.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2627. Legislative oversight**

The board shall report to the appropriate legislative oversight committee on a periodic basis, but in not case less than annually, the cost benefits and other information relevant to policy, research, and education involving controlled substances and other drugs of concern monitored by the program.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1011.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR

### **§2629. Program evaluation**

The board shall, in consultation with and upon recommendation of the advisory council, design and implement an evaluation component to identify cost benefits of the prescription monitoring program and other information relevant to policy, research, and education involving controlled substances and drug monitored by the prescription monitoring program.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:1011.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Pharmacy, LR